#### Discriminately Decreasing Discriminability with Learned Image Filters

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### Machine Perception Lab

- Research activities:
  - Study *natural human behavior* from a computational perspective.
  - Develop *machine sensors* to mimic the perceptual power of humans.
  - Create intelligent systems that interact with humans, e.g., social robots, automated teaching systems.



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#### Machine Perception Lab

- Most of our projects require lots of labeled training data:
  - Computer Expression Recognition Toolbox (CERT):
    - Tool for fully automatic real-time facial expression recognition from images/video.
    - Face detector: ~100,000 training images labeled for face location.
    - Expression classifiers: ~10,000 face images labeled for ~50 facial attributes each.



#### Machine Perception Lab

- Most of our projects require lots of labeled training data:
  - Automated teaching system of math/logic skills:
    - Automatic "mood" detectors: ~50,000 face images labeled I-4 for engagement, confusion, frustration, etc.

### Data labeling

- Traditionally, data were labeled by hiring undergraduate students.
  - Expensive, slow: thousands of dollars over 4 months to collect 60,000 smile/non-smile labels.
- More recently, crowdsourcing services such as ESP Game, Herdlt, and Amazon Mechanical Turk have been used.
  - Cheap, fast: \$200 over I week to collect I,000,000 smile/non-smile labels.

### Data labeling

- Unfortunately, crowdsourcing suffers from two problems:
  - I. Unreliable -- the labelers' accuracy may be questionable. Welinder, et al., 2010; Ruvolo, et al., 2010; Whitehill, et al., 2009
  - 2. Insecure -- the data may be too sensitive to distribute widely, e.g.:
    - Identity of a face image, e.g., students' faces in automated teaching study.
      - Some students in our experiments are portrayed in unflattering ways (e.g., crying).
    - Geographical location of a satellite image.

### Filtering out identity

- What if we could filter the images/videos to "remove" the person's identity (face deidentification; Newton, et al. 2005), yet preserve the attribute to be labeled?
  - Crowdsourcing might then be viable, as the "sensitive information" is erased.
  - For simple applications, we could try constructing the filter manually...

# Filtering out identity

- We explored this idea on video data already collected for a study on *driver fatigue* (Vural, et al. 2008).
- In videos on the next slide, subjects are playing a race car driving game.
- Videos were filtered using hand-selected Gaussian blur filter ( $\sigma = 12$  pixels).
- In which video does the subject appear more fatigued (2AFC task)?

#### Which shows more fatigue?



(b)

#### Which shows more fatigue?



(a)



#### Which shows more fatigue?



(a)



## Filtering our identity

- In pilot experiment, labels from 69 MTurk labelers agreed 100% (after taking majority vote) on 55 blurred videos compared to original videos when labeling "more/less engaged".
  - I.e., fatigue is still discriminable despite blur.
- As intended, much of the identity information is suppressed by the filter.
  - Identity is less discriminable.



## Filtering out identity

- This pilot study suggested that "filtering out identity" is possible.
- However, it was also too "easy":
  - Fatigue is contained in low-frequency components.
  - Identity is contained in high-frequency components.
  - A simple low-pass (Gaussian) filter works well.
- What about more general settings?
  - Is it possible to design the filter automatically?

## Filtering our identity

- What we want is to discriminately decrease discriminability:
  - Decrease discriminability of identity.
  - Preserve discriminability of attribute-of-interest.
- Note that discriminability can apply both to human perception as well as machine classification.
  - In this work, we address both types of discriminability.

### Discriminately decreasing discriminability

# Discriminately decreasing discriminability

- We approached the task of discriminately decreasing discriminability ("DDD") as an optimization problem.
  - Input: a set of data points, each of which is labeled for a "target" task A and a "distractor" task B.
  - Output: a filter θ that maximally increases discriminability of task A, while maximally decreasing discriminability of task B.

# Discriminately decreasing discriminability

- We focus on the case of *binary* labeling tasks, e.g.:
  - Student appears engaged/not engaged.
  - Person is smiling/not smiling.
  - Person is male/female.
- Binary labels do not directly capture identity.
  - However, it turns out that suppressing gender seems to implicitly suppress identity as well (more later).

### Simple example in R<sup>2</sup>

- Consider data {  $x_i$  } in  $R^2$ :
  - Binary labeling Task A: magenta-versus-black
  - Binary labeling Task B: O-versus-X.
- Both labeling tasks A and B are both highly discriminable.



## Simple example in R<sup>2</sup>

Original data

0

Filtered data

5

10

0.0107

0.15

0.1

0.05

-0.05

-0.1

-0.15

10

-10

-10

- Suppose we wish to preserve discriminability of Task A (magentaversus-black), but suppress discriminability of Task B (O-versus-X).
- We can filter the { x<sub>i</sub> } with some filter θ:
  - In this case, F(where  $\theta$  is a 2 -0.00470.0124linear transfor  $\theta_1 =$ Task A (black is still highly c -10 0 5 10 0.05 B is not. -10 -5 0.1

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## Simple example in R<sup>2</sup>

Original data

5

Filtered data

10

10

-10

- Alternatively, we can apply a filter that preserves discriminability of Task B (O-versus-X) while decreasing discriminability for Task A (magenta-versus-black).
- How can we learn such filters  $\theta_1$



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- Four inputs:
  - I. {  $x_i$  }, where each column-vector  $x_i \in \mathbb{R}^d$ 
    - Each  $x_i$  might be an image with d pixels.
  - 2.  $L_a: \mathbb{R}^d \rightarrow \{0, 1\},$  "target" task  $L_b: \mathbb{R}^d \rightarrow \{0, 1\}$  "distractor" task
    - L<sub>a</sub>(x) might represent whether a face image x is smiling/not smiling.

 $L_b(x)$  might represent whether a face image x is male/female.

- From { x<sub>i</sub> } and L<sub>a</sub>, L<sub>b</sub>, we can define four matrices (each with d rows), each containing some of the data points:
  - $X_{0a}$ : contains all  $x_i$  for which  $L_a(x_i) = 0$  $X_{1a}$ : contains all  $x_i$  for which  $L_a(x_i) = 1$
  - $X_{0b}$ : contains all  $x_i$  for which  $L_b(x_i) = 0$  $X_{1b}$ : contains all  $x_i$  for which  $L_b(x_i) = 1$

- Four inputs (continued):
  - 3. A filter function  $F(\theta, X)$  that filters each data point x in matrix X.
  - 4. Some "discriminability metric"  $D(F(\theta, X_0), F(\theta, X_1))$ which measures the real-valued "discriminability" of filtered data in  $X_0$  from filtered data in  $X_1$ .
- Then, our goal is to find  $\theta$  for which:
  - $D(F(\theta, X_{0a}), F(\theta, X_{1a}))$  is large. "target" task
  - $D(F(\theta, X_{0b}), F(\theta, X_{1b}))$  is small. "distractor" task

• One way of finding such a  $\theta$  is to optimize the *negative* ratio of discriminabilities,  $R(\theta)$ , of Tasks A and B:

$$R(\theta) = -\log \frac{D(F(\theta, X_{0a}), F(\theta, X_{1a}))}{D(F(\theta, X_{0b}), F(\theta, X_{1b}))} + \beta \theta^{\top} \theta$$

where  $\beta$  is the regularization strength on  $\theta$ .

- R is small when discriminability of Task A is large, and when discriminability of Task B is small.
- We can then minimize R w.r.t. filter parameters  $\theta$ .

$$\theta^* = \arg\min_{\theta} R(\theta)$$

- As long as D is differentiable in F, and F is differentiable in  $\theta$ , then we can find a local minimum  $\theta^*$  of R using gradient descent.
  - For a variety of filters, the function derivative of F w.r.t.
     θ can be found analytically.
    - E.g., convolution filters, general linear transformations, and pixel-wise "mask" filters are all linear in θ and X.
  - But how do we define the "discriminability metric" D?

- One notion of discriminability is the margin of an SVM (shortest distance to separating hyperplane).
- Hence, to compute  $D_{svm}(F(\theta, X_0), F(\theta, X_1))$ , we could train an SVM on the filtered data points, and then compute the margin.



Image courtesy of Wikipedia.

- One notion of discriminability is the margin of an SVM (shortest distance to separating hyperplane).
- Hence, to compute  $D_{svm}(F(\theta, X_0), F(\theta, X_1))$ , we could train an SVM on the filtered data points, and then compute the margin.
- Problem: the optimal hyperplane, and hence D<sub>svm</sub>, must be found *numerically* by solving a quadratic programming problem.
  - Hence, the derivative of D<sub>svm</sub> is not available in closed form.



Image courtesy of Wikipedia.

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- We need a discriminability metric that can be found in *closed* form and that is differentiable in *F*.
- Here, the classic method Linear Discriminant Analysis (LDA) (Fisher 1936) is useful:
  - LDA projects each point onto a vector p and then computes the ratio of between-class variance to within-class variance (sometimes called J):

$$J(p, X_0, X_1) = \frac{p^{\top}(\overline{x}_0 - \overline{x}_1)(\overline{x}_0 - \overline{x}_1)^{\top}p}{p^{\top}[(X_0 - \overline{X}_0)(X_0 - \overline{X}_0)^{\top} + (X_1 - \overline{X}_1)(X_1 - \overline{X}_1)^{\top}]p}$$
Within-class variance

 $\overline{x}_0$  is mean vector of class 0.  $\overline{X}_0$  contains  $n_0$  copies of  $\overline{x}_0$ , where  $n_0$  is number of data labeled 0.

• In LDA, the separating hyperplane is defined to have normal vector  $p^*$  that maximizes J for  $X_0$  and  $X_1$ .

LDA is useful because the maximum of J, as well its argmax p<sup>\*</sup>, can both be found *analytically*:

$$J^{*}(X_{0}, X_{1}) = \max_{p} J(p, X_{0}, X_{1})$$

$$p^{*} = \arg \max_{p} J(p, X_{0}, X_{1})$$

$$= [(X_{0} - \overline{X}_{0})(X_{0} - \overline{X}_{0})^{\top} + (X_{1} - \overline{X}_{1})(X_{1} - \overline{X}_{1})^{\top}]^{-1}(\overline{x}_{0} - \overline{x}_{1})$$

• We then define our discriminability metric D in terms of the the "maximum Fisher discriminability" J\* of the filtered data:

 $D_{\text{lda}}(F(\theta, X_0), F(\theta, X_1)) = J^*(F(\theta, X_0), F(\theta, X_1))$ 

• Through straightforward linear algebra, we can find a closed-form expression for the derivative of  $D_{Ida}$  w.r.t. F.

Using D<sub>Ida</sub> as the discriminability metric, we can optimize the objective function R w.r.t.
 θ so that Task A is highly discriminable while Task B is not:

$$R(\theta) = \log \frac{D(F(\theta, X_{0a}), F(\theta, X_{1a}))}{D(F(\theta, X_{0b}), F(\theta, X_{1b}))} + \beta \theta^{\top} \theta$$

We abbreviate this gradient ascent procedure as "DDD" (discriminately decreasing discriminability).

### Experiments



As a proof-of-concept experiment, we generated 1000 images (16x16 pixels) consisting of 1 vertical line + 1 horizontal line + uniform noise:



- We then defined Task A and Task B as follows:
  - Task A:
    - x is class 0 if vert. line is in left half of image.
    - x is class I if vert. line is in right half of image.
  - Task B:
    - x is class 0 if horz. line is in top half of image.
    - x is class I if horz. line is in bottom half of image.



- We then attempt to use "DDD" to preserve discriminability of Task A, while suppressing discriminability of Task B.
- As the filter function *F*, we will use 2-D convolution, i.e.,  $F(\theta, x) = \theta * x$ .
  - The filter parameter θ is the convolution kernel, which will be initialized to a 5x5 matrix sampled from U[0,1).







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 In our second experiment, we applied the "DDD" algorithm to the GENKI dataset, consisting of thousands of face images downloaded from the Web.



- GENKI was used to train a commercial smile detector.
- Images have been labeled for smile, gender, age, glasses, and more.

- We assessed whether "DDD" procedure could:
  - Preserve discriminability of smile, while decreasing discriminability of gender.
- Discriminability was assessed by uploading filtered images and querying human labelers on the Mechanical Turk.
- We used a pixel-wise "mask" filter:
  - $F(\theta, x) = \theta x$

where  $\theta$  is a diagonal matrix whose *j*th diagonal entry modulates the intensity of *j*th pixel of image *x*.

- We selected 1740 frontal GENKI images (downscaled to 16x16 pixels):
  - 50% smile, 50% non-smile
  - 50% male, 50% female
- Pixel-wise mask  $\theta$  was initialized to random values.
- Executed "DDD" procedure to obtain optimal filter  $\theta$  to maximize discriminability of smile, minimize discriminability of gender.

- The filtered images  $F(\theta, X)$  are highly distorted compared to original X so that a human would not recognize them as faces.
- Hence, we execute an additional "reconstruction" step:
  - Apply linear ridge regression to regress from filtered images back to original images.
  - Ridge term ensures that only the "more discriminable" aspects of image are fully restored.
    - "DDD" property is maintained.

















- Using the learned preserve-smile, suppress-gender filter, we posted 50 pairs of *filtered* images - I smiling, I non-smiling -- to the Mechanical Turk.
  - I0 Turk workers were asked to select which image of each pair was "smiling more".

#### MTurk Task



- Using the same filter, we posted 50 pairs of *filtered* images - I male, I female -- to the Mechanical Turk.
  - I0 Turk workers were asked to select which image of each pair was "more feminine".

### MTurk Task



- Finally, for comparison, we posted 2 more MTurk tasks:
  - 50 smile/non-smile pairs of unfiltered images.
  - 50 male/female pairs of *unfiltered* images.



MTurk Task

- Accuracy on each MTurk task was computed by taking majority vote across all 10 labelers for each pair.
- Results:

	Filtered	Unfiltered
Smile/non-smile	96%	94%
Male/female	58%	98%

# Experiment 3: Hand-constructed filter

- For the task of preserving smile/non-smile discriminability, we could easily construct a filter by hand:
  - Only show the "mouth region" of each face.
- How well does this work compared to the filter learned using "DDD"?
  - We posted another MTurk task to test this.



# Experiment 3: Hand-constructed filter

- It turns out that this manually-constructed filter allows considerable "male/female" information to pass through.
  - Despite strong prior domain knowledge, the learned filter performs better than manually created one.
- Results:

	Learned filter	Manual filter
Smile/non-smile	96%	96%
Male/female	58%	74%

- We also tried the opposite DDD task:
  - Preserve discriminability of gender, while decreasing discriminability of smile.
- We used exactly analogous procedures as for previous experiment.

### • Results:













- Accuracy on each MTurk task was computed by taking majority vote across all 10 labelers for each pair.
- Results:

	Filtered	Unfiltered
Smile/non-smile	64%	94%
Male/female	86%	98%

# Suppression of facial identity

- As mentioned earlier, it would be useful to create a filter to preserve expression but suppress *facial identity*.
- In practice, we found that suppressing gender also removed considerable identity information.
- Consider the image below that was filtered with the preserve-smile, suppress-gender filter:
  - Which of the 10 faces below it is the unfiltered face?

filtered



# Suppression of facial identity

- To test efficacy of "face-deidentification" using "DDD" procedure, we created 20 face recognition questions:
  - Match filtered face to one of 10 unfiltered faces.
- To control for possibly "sloppy labelers", we randomly added 20 "control" questions:
  - Match unfiltered face to one of 10 unfiltered faces (this is trivial).
- The IO labelers' responses were combined on each question using majority vote.
#### Suppression of facial identity

- Results:
  - Face recognition accuracy on filtered faces: 15% (guess rate = 10%)
  - Accuracy of best labeler on filtered faces: 30%
  - Face recognition accuracy on unfiltered faces: 100%
- Results suggest that suppression of gender also suppresses identity.

- The previous experiment described how DDD can be useful for *face de-identification --* suppressing facial identity (via gender) while maintaining discriminability of expression.
- Another application of DDD is to partially counteract covariate shift.
- In this setting, we are more interested in *machine* classification of a "distractor" Task B (instead of human perception).

- Suppose we wish to train a classifier of attribute A using a training dataset D.
- Suppose that, in D, the attribute A is highly correlated with some other attribute B.
  - E.g., perhaps smile is strongly correlated with gender.
- If we apply the classifier trained on D to some other dataset in which corr(A, B) is different, then the classifier may perform very poorly.

- Using the "DDD" technique, we may be able to partially counteract this problem by *suppressing discriminability of B* prior to training the classifier for A.
  - In this case, DDD acts as a "application-specific regularizer" to ensure *invariance* to attribute B.
- Procedure for "regularizing" a training set using DDD:
  - I. Label training set for both A and B.
  - 2. Learn filter  $\theta$  using DDD to preserve A and suppress B.
  - 3. Apply filter  $\theta$  to training set.
  - 4. Train classifier.
  - 5. To classify a novel image, first filter it using  $\theta$ , then classify.

### Proof-of-concept experiment

- As a simple "proof-of-concept" experiment, we subsampled 4062 GENKI training images so that corr<sub>train</sub>(smile, gender) = +0.64
- We also selected a disjoint test set containing 970 images for which corr<sub>test</sub>(smile, gender) = -1
- We then trained two SVMs (RBF kernels) to classify an image as smile/non-smile:
  - I. SVM with DDD-regularization (filter was optimized to preserve smile, suppress gender)
  - 2. SVM without regularization (classify unfiltered images).

### Proof-of-concept experiment

- We then evaluated the trained SVMs on the test set.
- Results:
  - The "unregularized" SVM suffered due to the correlation between smile and gender on the training set:
    - Accuracy = 0.79 (area under ROC curve)
  - In contrast, the "regularized" SVM (using filter learned by DDD) was somewhat invariant to this correlation:
    - Accuracy = 0.92 (area under ROC curve)

### Summary

- The proposed "DDD" algorithm can learn filters to preserve an attribute A while suppressing an attribute B.
  - Requirements: discriminability metric *D*, and filter function *F*, are available in *closed form*.
    - We used "maximal Fisher discriminability" for D -other choices may work too.
- DDD can help to "de-identify" frontal face images while preserving their facial expression.
- In a proof-of-concept experiment, we illustrated how DDD can help to counteract covariate shift by providing invariance to specific image attributes (e.g., gender).

#### End